ABSTRACT: This study aims to describe a quality improvement program to reduce the rates of ophthalmic surgery cancellation and patient complaints. Methods: the study was carried out at a university hospital in the state of São Paulo, Brazil. The intervention occurred from July to December, 2007. The methodology applied was based on the model of process improvement. A multidisciplinary work group was set up, composed of professionals from all stages in the line of surgical care and coordinated by the Quality Program staff. The goals of the project were to reduce the rate of surgery cancellations and to decrease the number of patient complaints. An action plan was established based on the assessment of the main causes of the problems. The tools used included the worksheet SW2H and a formal contract for the project. The rates of surgery cancellation before and after the intervention were compared using the chi square test and significance was assumed if P was less than 0.05. Results: in the baseline period (2004-2005) the monthly rate of surgery cancellations ranged from 32 to 45%. In the year 2006 this rate increased from 38 to 42%, the main causes were: patient absence (32%); faults in surgical product provision (13%); and unpropitious clinical conditions (15%). Patient absence was reduced through improved communication between resident surgeons and the social workers team. Modifications were achieved in the provision chain for essential surgical products (intraocular lens, balanced salt solution, viscoelastic solution) and there was no more surgery cancellation caused by fault in product provision. Although there was no change in the overall rates of surgery cancellations between the baseline period (37%) and the intervention period (38%), the rates decreased compared to the year 2006 (51%) (P = 0.0001; RR: 0.75; CI: 0.71-0.78). The main cause of patient complaint was surgery cancellation; the physician-patient relationship was the object of many complaints. Specific training to improve this relationship was implemented. Patient complaints dropped from up to 2006 to about 10 per month in 2007. Conclusion: the methodology for process improvement showed to be useful in reducing the main causes of surgery cancellation and patient complaints. The work group expressed a high level of satisfaction regarding the methodology applied and the goals achieved.


RESUMO: Este estudo visa descrever um programa de intervenção de qualidade para reduzir as taxas de cancelamento de cirurgias oftalmológicas e as queixas de pacientes. Métodos: o estudo foi realizado em um hospital universitário no estado de São Paulo, Brasil. A intervenção ocorreu de julho a dezembro de 2007. A metodologia aplicada se baseou no modelo de melhoria do processo. Instituiu-se um grupo de trabalho multidisciplinar composto por profissionais de todos os estágios na linha de cuidados cirúrgicos e coordenado pela equipe de funcionários do programa de qualidade. Os objetivos do projeto eram: reduzir a taxa de cancelamento de cirurgias e o número de queixas de pacientes. Um plano de ação foi estabelecido com base na avaliação das causas principais dos problemas. As ferramentas usadas incluíram um planilha SW2H e um contrato formal para o projeto. As taxas de cancelamento de cirurgias antes e depois da intervenção foram comparadas usando o teste qui-quadrado e a significância foi estabelecida como P menor que 0.05. Resultados: no período basal (2004-2005) a taxa mensal de cancelamentos de cirurgias variou de 32 a 45%. No ano de 2006 esta taxa aumentou de 38 para 66%. As causas principais de cancelamentos da cirurgia foram: ausência do paciente (33%), falhas na provisão de produtos cirúrgicos (32%) e circunstâncias clínicas não adequadas (15%). A ausência de pacientes foi reduzida com a melhoria da comunicação entre os cirurgiões residentes e a equipe de assistentes sociais. Obtiveram-se modificações na cadeia de provisão de produtos cirúrgicos essenciais (lente intraocular, solução salina estabilizada, solução viscoelástica) e não houve mais cancelamentos de cirurgias causado por problemas de provisão de produtos. Embora não tenha havido nenhuma mudança nas taxas totais de cancelamento de cirurgias entre o período basal (37%) e o período da intervenção (38%), as taxas diminuíram se comparadas às do ano de 2006 (51%) (P = 0.0001; RR: 0.75; CI: 0.71-0.78). A causa principal de queixas de pacientes era o cancelamento de cirurgias; o relacionamento médico-paciente era objeto de muitas queixas. Foi realizado um treinamento específico para melhorar esse relacionamento. As queixas de pacientes caíram de 20 em 2006 a aproximadamente 10 por mês em 2007. Conclusão: a metodologia de melhoria de processo mostrou ser útil na redução das principais causas de cancelamento de cirurgias e de queixas de pacientes. O grupo de trabalho expressou um nível elevado da satisfação quanto à metodologia aplicada e aos objetivos alcançados.

Introduction

Surgery cancellation is a matter of concern in many hospitals, regarding humanization, productivity and cost aspects of healthcare assistance. A great number of reasons for cancellation can be avoided by improved planning in management, redesigning work processes, training of the staff and by carrying out early clinical evaluation. There is a lack in the literature regarding strategies to reduce surgery cancellation rates. In Brazil, the impact of cancelling surgeries can affect the whole public service system which has a growing demand for ophthalmologic procedures.

The ophthalmology clinic at UNICAMP is a referral service for the public health system, with integrated assistance and teaching and quite a satisfactory technological infrastructure. A previous evaluation study of patient satisfaction, regarding the service provided, was rated as good by more than 90.0% of the individuals interviewed. Good results and continuous improvement of the healthcare assistance quality is a goal for the ophthalmologic team.

In a previous study, ophthalmologic surgery cancellation rates were found to be high in our hospital. However, there was no specific intervention and the problem tends to aggravate. In the year 2006 there were many operational problems and the cancellation rates increased up to 60%. The Hospital ombudsman reported an increase in patient complaints.

The present study aims to describe a quality intervention program in the ophthalmologic surgery management in order to reduce both the rate of cancellation and the number of patient complaints.

Methods

The study was carried out at the Hospital das Clínicas, Universidade Estadual de Campinas (HC-UNICAMP), a 400 bed public university hospital in the state of Sao Paulo, Brazil. Ophthalmologic surgeries were mainly performed in the outpatient care operating room center.

The whole project was carried out from May 2007 to June 2008. The main intervention activities occurred between July and December 2007 and continued until June 2008. The period between July 2007 and June 2008 was considered as post intervention.

The methodology applied was based on the model of process improvement (REF). A multidisciplinary work group was composed of professionals from all stages of the surgical care line and coordinated by the Quality Program staff.

The goals of the project were to reduce to 20% the rate of surgery cancellations and to reduce the number of patient complaints to less than 6 per month. An action plan was established based on the analysis of the main causes of the problems. The tools used included the worksheet 5W2H (what, why, where, who, when, how and how much) and a formal contract for the project. This formal contract was signed by all group members and a patronage was established by one member of the board of directors of the HC-UNICAMP.

The years 2004 and 2005 were considered as the baseline period. The post intervention period was considered from July 2007 to June 2008. The year 2006 was considered as the critical period. The rates of surgery cancellations before and after the intervention were compared using the chi square test and significance was assumed if \( P \) was less than .05. The Epi Info (version 6; CDC, Atlanta, Ga) was used for the analyses.

Results

There was an average of 3,389 ophthalmologic surgeries per year in the period between 2004 and 2007. In the baseline period (2004-2005) the monthly rate of surgery cancellation ranged from 32% to 45%. In the year 2006 there were many problems, and the monthly rate increased from 50% to 60%. The main causes for surgery cancellation were: patient absence (33%), faults in surgical product provision (32%) and unpropitious clinical condition (15%).

The action plan involved the following activities: monthly evaluation of the indicators (surgery cancelling and patient complaints); multi-professional plan towards patient orientation for the pre, intra and post surgery phases; education project for resident physicians; and reviewing of the flow and routines for essential product supply.

Patients who did not show up were contacted by telephone to find out the reasons for the absence. They were related to faults in the administrative work process (67.5%) and only 27.5% were related to personal patient problems; 5% were due to faults in the public health service system. Patient absence was reduced by improving communication between the resident surgeons and the social assistance team. After the intervention, patient absence due to failure in the administrative work process decreased to 13.6% and personal patient problems became the main reason for absence.

Improvement was achieved in the provision chain for essential surgical products (intraocular lens, balanced salt solution, viscoelastic solution) and there was no more surgery cancellation due to this cause. After the intervention, unpropitious clinical condition remained to be the principal cause of surgery cancellation.
During the post intervention period there was an unusual high absenteeism of operating room nurses which resulted in surgery cancellations. Due to this unexpected problem the cancellation rate failed to decrease to 20% as planned. Although there was no change in the overall rates of surgery cancellations between the baseline period (37%) and the intervention period (38%), the rates decreased compared to the year 2006 (51%) ($P = 0.0001; \text{RR: 0.75;} \text{IC: 0.71-0.78}$). (Table 1)

The main cause of patient complaints was surgery cancellation; physician-patient relationship was part of many complaints. Specific training to improve this relationship was carried out. Patient complaints dropped from up to 20 in 2006 to about 10 per month in the post intervention period (Figure 1). The mean number of patient complaints per month was 20 in the year 2006 and 12 in the post-intervention period. Operating room absenteeism also weakened the power of the planned intervention in this quality indicator.

**Discussion**

Cancelled operation incidence can vary highly according to the specialties and healthcare institution, ranging from 5.0% to 40.0% \cite{1,5,6,7,8,9,10}. Ophthalmology is usually among the most affected specialties \cite{6,10}.

Operations can be cancelled for clinical, non-clinical, or logistic reasons. A different classification attributed to the reasons for cancelling, can be categorized by preventable or unpreventable\cite{11}. The root-causes for these reasons can be quite different among healthcare institutions\cite{1,6,8,9,10}.

Lack of postoperative beds is the most common reason for cancelling in many establishments\cite{5,8,12}. This was not a problem in the present study which focussed mainly on outpatient surgeries.

The potential to prevent cancellation through good management was pointed out by others\cite{11,12,13}. However, there is a lack of literature suggesting methodologies to be applied for improvement in this sector of the healthcare field.

Among the reasons for cancelling, patient non-attendance has been a long time problem in our institution, as mentioned in the study of Lira et al (2001). Other studies detected patient failure in showing up as a problem\cite{1}. Nevertheless, the present study demonstrated that the root-causes for the absence of patients were, in fact, caused by failures in the administrative work process, which could be dealt with through simple improvements in hospital and patient communication systems.

Unfortunately, nurse absenteeism in the operating room occur-

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**Table 1. Ophthalmologic surgeries booked, cancelled, completed and rates of cancellation in the outpatient operating room center from 2004 to June 2008 at Hospital das Clínicas, Universidade Estadual de Campinas (HC-UNICAMP)**

<table>
<thead>
<tr>
<th>Period</th>
<th>Surgeries booked</th>
<th>Surgeries canceled</th>
<th>Surgeries done</th>
<th>Rate of cancelling (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Baseline (2004-2005)</td>
<td>11,872</td>
<td>4,499</td>
<td>7,373</td>
<td>37.9(^{(1)})</td>
</tr>
<tr>
<td>Critical (2006)</td>
<td>6,069</td>
<td>3,101</td>
<td>2,968</td>
<td>51.1(^{(2)})</td>
</tr>
<tr>
<td>Post intervention (July 2007 to June 2008)</td>
<td>4,925</td>
<td>1,877</td>
<td>3,048</td>
<td>38.1(^{(3)})</td>
</tr>
</tbody>
</table>

\(^{(1)}\) versus \(^{(3)}\) $p>0.80$

\(^{(2)}\) versus \(^{(3)}\) $p<0.001$

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**Figure 1. Number of patient complaints at the auditorship of the Hospital das Clínicas, Universidade Estadual de Campinas (HC-UNICAMP), regarding the Ophthalmologic Department in the year 2006 and in the post intervention period (July 2007 to June 2008)**
ring in the post intervention period could not be anticipated during the planning phase of strategy improvement. Despite this occurrence the work team was able to overcome this problem, maintaining the best management practices possible vis-à-vis the situation. The action plan succeeded in decreasing the rates of cancellation compared to the year before, but was not enough however, to reach our goals. This was probably due to unexpected events of surgery cancellation caused by the shortage of nurses. Nevertheless, considering these problems, the work group believes that without the strategies applied, the rates could have been much worse.

The methodology of process improvement showed to be useful to reduce the main causes of surgery cancellation and patient complaints. Although the goals were not fully achieved, the work group expressed a high level of satisfaction and truly recognized the potential of this methodology. Further action planning is recommended to improve quality achievements in the field of surgery cancellation rates and patient complaints.

REFERENCES